

APPLICATION FOR AUTHORITY TO ESTABLISH A REPRESENTATIVE OFFICE OF A FOREIGN BANK OR FINANCIAL INSTITUTION

A. DETAILS OF PARENT INSTITUTION

- 1. Name of the institution
- 2. Type of business
- 3. Full address
- 4. Date and country of incorporation
 - i. Branch offices
 - ii. Former name(s) by which the institution has been known
 - iii. Has the institution been denied authority by any supervisory body or Government to carry on any business activity in Kenya or elsewhere? If so, give particulars
 - iv. Is an inspector or other authorised officer of any Government Ministry, Department or Agency, Professional Association or other regulatory body investigating or has such an investigation ever previously taken place into the affairs of the institution? If so, give particulars
 - v. Has the institution been refused entry in Kenya or elsewhere to any professional body or trade association concerned with banking or financial activities or decided not to apply for entry after making an approach?If so, give particulars
- 5. Has the institution been put under receivership or made any compromise or arrangement with its creditors in the past or otherwise failed to satisfy creditors in full?..... If so, give particulars.....

B. DETAILS OF REPRESENTATIVE OFFICE IN KENYA

- 11. Physical address of the office LR No.:
- Building
- Street
- Town.....

12. Postal address:
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Telephone Nos:
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13. a) Names, designations and qualifications of the senior officers to operate the Kenya office
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b) Number and designation of other staff
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14. Type of services to be offered by the Kenya Office
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15. Do you plan to change the status of the proposed place of business? If yes, state purpose of proposed type of status and when
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16. Proposed date of commencing operations.....

17. Name of Managing Director/Chief Executive
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Signature:.....
Date.....