

**PART IX APPLICATION FORMS & NOTES ON COMPLETION
FORM CBK IF 1-1**

**APPLICATION FOR A LICENCE TO CONDUCT THE BUSINESS OF AN
INSTITUTION**

NB: (a) Read the declaration on Section 18 below before completing this form.

(b) In case the space provided is inadequate, use additional paper.

(c) This form should be submitted, duly completed, accompanied by the complete set of documents prescribed under Part IV (*Information Requirements*) of the Guideline on licensing (CBK PG/01).

1. Type of Business applied for.....
2. Name of Proposed Institution.
3. Physical Address of Head Office: L.R. No.....
Street..... Building & Town/City
4. Postal Address and Postal Code.....
Telephone No..... P.I.N.
E-mail address.....
5. Date and Country of Incorporation.....
6. Names of branch offices and the number of years each has been established and has conducted or carried on business.....
7. Former name(s) by which the institution has been known.....

8. Particulars of shareholding:

Present & Former Name(s) of share-holder(s)	Postal Address of shareholder (s)	PIN No. & Identification No.	Designation	Age and Nationality	No. of shares held/allocated	Academic Professional Qualifications & Year obtained	Details of Previous Employment	Date of Appointment

(Attach all necessary supportive documentation; please indicate N/A where requested details are not applicable)

9. Particulars of Officers:

a) Directors

	Name of Proposed Director	Proposed Capacity (Executive / Non-Executive)	Age & Nationality	ID No. / Passport No.	Postal & E-mail address	Telephone contacts	Other Current Directorship (s)
1.							
2.							
3.							
4.							

b) Senior Officers

	Name of Proposed Officer	Proposed Designation	Age & Nationality	ID No. / Passport No.	Postal & E-mail address	Telephone contacts	Current Position
1.							
2.							
3.							
4.							

10. Names of the proposed institution's Bankers and their Address

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11. Sources of funds for the proposed business

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12. Does the institution hold, or has it ever held any authority from a supervisory body to carry on any business activity in Kenya or elsewhere?

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If any such authority has been revoked, give particulars

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13. Has the institution been put under receivership in the past or made any compromise or arrangement with its creditors in the past or otherwise failed to satisfy creditors in full?

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If so, give particulars.....

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14. Is an inspector or other authorized officer of any government ministry, department or agency, professional association or other regulatory body investigating or has such an investigation ever previously taken place into the affairs of the institution?

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15. Has the institution been refused entry in Kenya or elsewhere to any professional body or trade association concerned with banking or financial activities or decided not to apply for entry after making an approach?

If so, give particulars.....

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16. Is the institution engaged or does it expect to be engaged in Kenya or elsewhere in any litigation which may have a material effect on the resources of the Institution?.....

If so, give particulars.....

17. Is the institution engaged or does it expect to be engaged in any business relationship with any of its officers or shareholders?

If so, give particulars.....

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18. **DECLARATION**

We, the undersigned, being directors of the proposed institution, declare that to the best of our knowledge and belief, the information contained herein is complete and accurate. We also certify that the capital to be invested in the proposed bank is not from proceeds of crime.

Director (Name)

Signature.....Date.....

Director (Name).....

Signature.....Date.....