

Schedules to the KEPSS Rules and Regulations

Schedule D

KEPSS Self-Assessment Return (Bi-annually)

Self-Assessment for the period ending.....

Participant: [Name]

Please respond to the following questions

A. KEPSS-related fraud

- Has your organisation experienced any KEPSS-related actual or attempted fraud during the year?
- If so, was this reported to the Bank in accordance with the Rules and Regulations?
- Was the means of the fraud identified and rectified?
- Did the fraud result in any financial loss to your organisation or to its customers?
- If so was it recovered or is recovery still being sought?

B. Contingency planning and contingency facilities

- Does your organisation have a documented contingency plan for its KEPSS operations?
- Does the plan satisfactorily cover significant potential contingency scenarios?
- Does your organisation maintain back up computer facilities and/or communications for the SWIFT interface used for KEPSS communications?
- If your organisation does maintain a backup computer facility, is it at a separate site to your offsite computer facility?
- Are you satisfied that potential business risks have been analysed and addressed?

C. System down time and resilience

- Has your KEPSS operations been subject to significant or recurring downtime or disruption?
- If so, were these reported to the Bank and recorded in a Contingency Event Log in accordance with the KEPSS procedures?
- Are you satisfied that your KEPSS-related systems have sufficient capacity and resilience to service your KEPSS payment traffic in a timely and safe manner?

Signed for and behalf of

By the duly authorized Signatories

Name

Designation.....

Signature

Name

Designation.....

Signature