

ATS REGISTRATION/DE-REGISTRATION



CENTRAL BANK OF KENYA

Registration/De-registration* of participation in the Automated Trading System (ATS) for Treasury bond trading at the Nairobi Stock Exchange (NSE)

Declaration: I / We holder(s) of Government of Kenya (GoK) securities CDS account number do hereby authorize the Central Bank of Kenya (hereinafter 'the Bank', as the Registrar of GoK securities) to **register/de-register*** my approval to have records pertaining to the details of Government Treasury Bond investments in my/ our CDS account(s) released for trading purposes to the NSE as I/ We shall instruct in writing or in any other acceptable form.

Further, I/We do confirm that I / We shall abide by all the rules and guidelines issued by the Bank from time to time governing the operations of the ATS for Treasury bond secondary trading transactions executed through the Nairobi Stock Exchange.

In line with the current trading rules that require trading orders for listed securities to be placed by stockbrokers duly licensed by the Capital Markets Authority (CMA), I / We wish to appoint the under-listed stockbrokerage firm(s) to act on my/our behalf.

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I / We also understand that any or all of the above listed stockbrokers may, pursuant to my/our written instructions to the Bank, be deleted from our list of approved agents. In addition, I/We also appreciate that the Bank will similarly require written instructions to replace any of the above-listed brokerage firms or to add additional firms to the existing list. The Bank shall notify all relevant players of such changes before the newly appointed brokers take up any trade transactions.

I / We solemnly declare that I/ We have fully understood the content of and requirements under this Declaration and that all information provided herein is in every respect true and accordingly shall remain binding on me/us.

1. Signed..... 2. SignedDate.....

1. Name..... 2. Name.....Date.....

Institution's stamp
**Delete as appropriate*

CENTRAL BANK OF KENYA