

REQUIREMENTS FOR BROKER MANDATE

These cards are for CMA, NSE & Licensed stockbrokers only.

This is to formally introduced Personnel who are authorised by the company to either collect, submit or sign accountable documents of the institution.

RULES ON COMPLETION OF THE CARD

PART ONE

- Director / Managers together with their Assistants to append their signatures. (This is to authenticate the person being introduced in part 2)
- Company seal to be embossed.

PART TWO

- Director of the Institution to indicate the **function** they require the person being introduced to carry out.
- The person being introduced to append **four** specimen signatures in the presence of the CBK – Officials.
- Attach one coloured photograph and **copy** of ID, to be certified and verified by the CBK- Officials against the **originals**.

PART THREE

- To be completed by The Central Bank of Kenya.

FINAL STEP

- Submission of a **Copy** of Certificate of Registration, license from CMA which shall be verified against the **originals** by CBK- Officials.