

FIT AND PROPER FORM FOR DIRECTORS, CHIEF EXECUTIVE OFFICER AND SENIOR OFFICERS

NB: This form should be duly completed, accompanied by the complete set of documents required under Part III and submitted to the Bank.

1. DIGITAL CREDIT PROVIDER

Name.....

Type.....

Proposed position.....

2. PERSONAL INFORMATION

a) Surname..... Other Names

b) Previous Names (if any) by which you have been known:

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Reasons for change of names.....

c) Year and Place of birth:

d) Nationality and how acquired.....

e) Personal Identification Number (PIN)

f) (i) Identification Card number and date of issue

(ii) Passport number, place and date of issue.....

g) Postal Address:

h) Physical Address.....

i) Telephone numbers.....

Educational Qualifications

	Qualifications	Year Obtained	Examining Body	Grade Obtained
1.				
2.				
3.				

Professional Qualifications and years obtained

	Qualifications	Year Obtained	Examining Body	Grade Obtained
1.				
2.				
3.				

h) Name(s) of your bankers during the last 5 years

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i) Responsibilities of Proposed position

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3. EMPLOYMENT/ BUSINESS RECORD

Period	Name of Employer/ Business and	Position Held & Dates	Responsibilities	Reasons for Leaving (where applicable)

4. DESCRIPTION OF PAST AND PRESENT ACTIVITIES IN KENYA AND ABROAD

4.1 SHAREHOLDING (DIRECTLY OWNED OR THROUGH NOMINEES)

Company's Name	Certificate of registration No.	Number of Shares held	% of Shareholding	Name and address of Beneficial Owner of shares

4.2 DIRECTORSHIPS

Company's Name	Certificate of registration No.	Executive or Nonexecutive	Position held	Date of appointment	Reasons for leaving

4.3 MEMBERSHIP TO PROFESSIONAL BODIES

Name of the institution	Membership No.	Position held	Current status of membership	Reasons for leaving

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5. BORROWINGS

- 5.1** Have you ever defaulted in your financial obligations in the last five years?.....

 If yes, give details

- 5.2** Have you at any time been convicted of any criminal offence in any jurisdiction? If so, give particulars of the court in which you were convicted, the offence, the penalty imposed and the date of conviction

- 5.3** Have you ever been disqualified, under any legislation or regulation from acting as a director or serving in a managerial capacity?

- 5.4** Have you, in any country, ever been dismissed from any office or employment, or been asked to resign or resigned from employment or position of trust or fiduciary appointment? If so give particulars

- 5.5** Have you ever been diagnosed as being mentally unfit or of unsound mind?

- 5.6** Have you ever been adjudged bankrupt?

 If so, give particulars

5.7 Have you ever been convicted of fraud or theft by a court of law in any country?

If so, give particulars

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5.8 Has any entity with which you were associated as a director, shareholder or manager in any country been in financial distress, made any compromise or arrangement with its creditors, been wound up or otherwise ceased business either while you were associated with it or within three year after you ceased to be associated with it?

If so, give particulars.....

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5.9 Indicate the names, postal and e-mail addresses, telephone numbers and positions of at least three individuals of good standing who would be able to provide a reference on your personal and professional integrity. The referees must not be related to you, and should have known you for at least five years.

	Name of Referee	Postal Address	E-mail address	Tel no. (s)	Position (where applicable)	Relationship with applicant
1						
2						
3						

5.10 Is there any additional information which you consider relevant for the consideration of your suitability or otherwise for the position(s) held/to be held? The omission of material facts may represent the provision of misleading information

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6. DECLARATION

I am aware that it is an offence to knowingly provide any information, which is false or misleading. I am also aware that omitting material information intentionally shall be construed to be an offence.

I certify that the information given above is complete and accurate to the best of my knowledge, and that there are no other facts relevant to this application of which the Bank should be aware.

I undertake to inform the Bank of any material changes to the information provided herein

NAME..... POSITION HELD
.....

DATED..... AT..... THIS DAY OF.....

SIGNED.....

(Applicant)

(This declaration must be signed in the presence of the witness named below)

WITNESSED BEFORE ME:

COMMISSIONER FOR OATHS/MAGISTRATE

Name:

Signature:

Address:

Date and Stamp:

N.B. The information given in response to this questionnaire shall be kept confidential by the supervisory authorities, except in cases provided for by law.

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